

# Lecture 11: Taut foliations

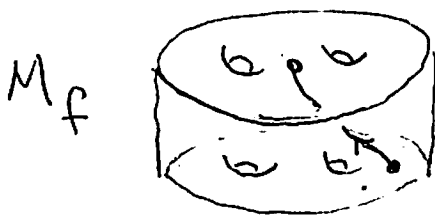
Previously... 1) Every closed orient  $M^3$  has a foliation.

2) If  $L$  is a nonclosed leaf of  $\mathcal{F}$ , then  $\exists$  a closed loop, transverse to  $\mathcal{F}$ , which meets  $L$ .

A closed transversal is an embedding  $S^1 \hookrightarrow M$  which is transverse to  $\mathcal{F}$ .

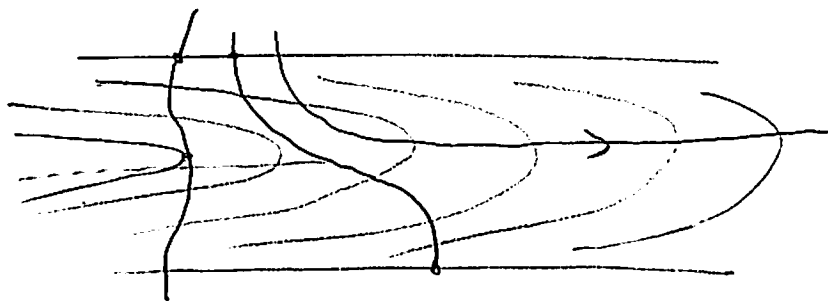
Def: A foliation  $\mathcal{F}$  of  $M^3$  is taut if every leaf  $L$  has a closed transversal  $\gamma_L$  that meets it.

Ex:  $F \times S^1$   
 $\gamma = \{pt\} \times S^1$



Ex: Any  $\mathcal{F}$  of a cpt  $M^3$  where no leaf is compact.

Non Ex: Any  $\mathcal{F}$  with a Reeb component, that is containing a Reeb solid torus  $R$ . Issue:  $\partial R$  has no closed trans.



Pf: Look at unit vector field  $\vec{n}$  pointing into  $R$ , consider  $\langle \gamma'(t), \vec{n}_{\gamma(t)} \rangle$ .

"Dead end component"

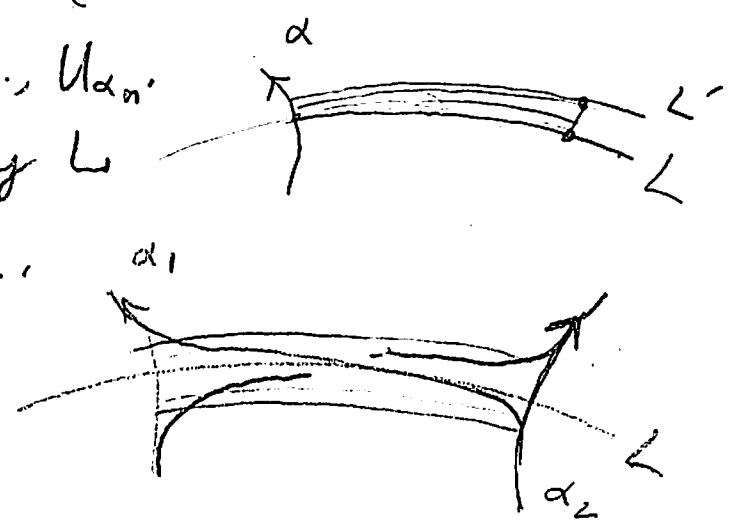
Prop: If  $M^3$  is cpt and conn,  $\mathcal{F}$  is taut  $\iff$   
 $\exists$  a closed trans.  $\gamma$  that meets every leaf.

Pf. If  $\alpha$  is a closed trans,  $\cup \{L \in \mathcal{F} \text{ meets } \alpha\} = U_\alpha$   
 is open. Cover  $M$  with  $U_{\alpha_1}, \dots, U_{\alpha_n}$ .

As  $M$  is conn, two overlap, say  $L$   
 is common to  $U_{\alpha_1}$  and  $U_{\alpha_2}$ .

Create  $\beta$  with  $U_\beta = U_{\alpha_1} \cup U_{\alpha_2}$ .

Repeat.  $\square$

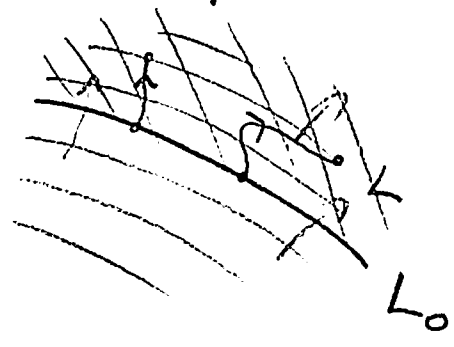


Thm: Suppose  $\mathcal{F}$  is a co-orient fol of a clsd orient  $M^3$ .  
 $\mathcal{F}$  is not taut  $\iff$  there exist torus leaves  $T_1, \dots, T_k$   
 bounding a submfld  $V$  where the co-orient points  
 into  $V$  everywhere.

Ex of V: Reeb component,  $(\text{Reeb annulus}) \times S^1 \subseteq T^2 \times I$

Pf idea: Let  $L_0$  be a leaf not meeting any clsd  
 transv; it must be cpt. Let  $U$  be the union  
 of all  $L \neq L_0$  s.t.  $\exists$  a pos. trans from a pt  
 in  $L_0$  to a pt in  $L$ .

Note  $U$  is open.



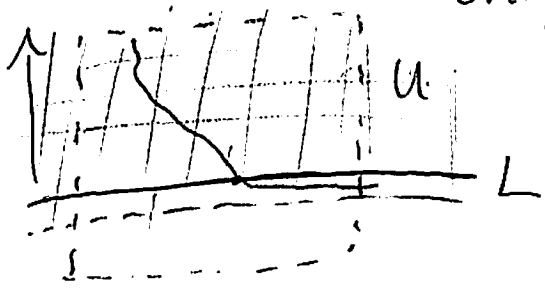
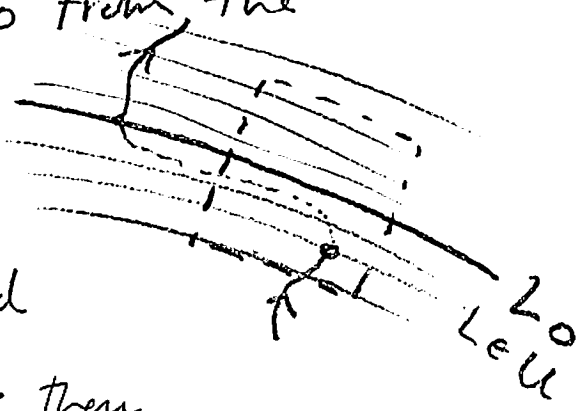
[Equiv, all  $L$   
 s.t.  $\exists$  a pos trans  
 from every pt  
 in  $L_0$  to every pt  
 in  $L$ ]

Also  $U$  does not approach  $L_0$  from the negative side. So  $V = \bar{U}$

is not all of  $M$ . Now

$V \setminus U$  is a union of leaves and

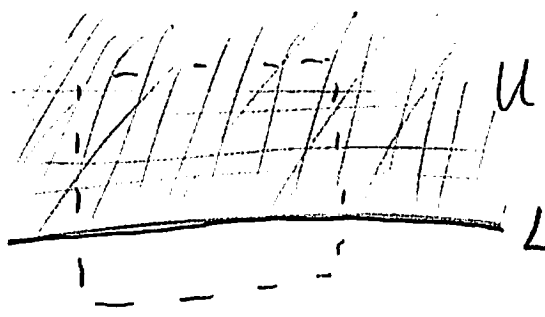
if  $L$  in  $U$  meets a fol chart then everything "above"  $L$  is in  $U$ .



Thus  $V \setminus U$  meets a fol. chart in at most one plaque.

$$\text{So } V \setminus U = L_0 \cup L_1 \cup \dots \cup L_n$$

with all  $L_i$  cpt leaves with co-orient pointing in to  $V$ .



$$L_i \subseteq V \setminus U \quad \text{No } L_i = S^2 \text{ by}$$

Reeb stab. Inward nowhere vanishing vector field

$$\Rightarrow \chi(V) = 0 \Rightarrow \chi(\partial V) = 0 \Rightarrow \text{all } L_i = \textcircled{0} \quad \square$$

Poincaré-Hopf

Poincaré-Lefschetz: for  $M^3$ ,  $\chi(\partial M) = \frac{1}{2} \chi(M)$

Thm: Suppose  $\mathcal{F}$  is a co-orient fol on a clsd orient  $M^3$ . Then  $\mathcal{F}$  is taut  $\iff \exists$  a transv. volume-preserving flow.

Note: A dead end comp makes such a flow impossible.

[Novikov-Rosenberg] Let  $\mathcal{F}$  be a taut fol of a clsd orient  $M^3$ . If  $M \neq S^2 \times S^1$  or  $\mathbb{R}P^3 \# \mathbb{R}P^3$ , then

- 1)  $M$  is irred.
- 2) each leaf  $L$  is incomp ( $\pi_1 L \hookrightarrow \pi_1 M$ )
- 3) every clsd trans is  $\neq 1$  in  $\pi_1 M$ .

Cor: If  $M$  has a taut fol,  $\pi_1 M$  is infinite. If  $\tilde{\mathcal{F}}$  is the induced fol of  $\tilde{M}_{univ}$ , then every leaf of  $\tilde{\mathcal{F}}$  is a prop. emb. plane. ( $M \neq S^2 \times S^1, \mathbb{R}P^3 \# \mathbb{R}P^3$ )

Pf of Cor: Let  $\gamma$  be a clsd trans to  $\mathcal{F}$ . Then  $\gamma^n$  can be pert. to a clsd trans  $\Rightarrow \gamma^n \neq 1$  in  $\pi_1 M$  for  $n > 0$ . So  $|\pi_1 M| = \infty$ . By (2) each leaf  $\tilde{L}$  of  $\tilde{\mathcal{F}}$  must be a plane. If  $\tilde{L} \hookrightarrow \tilde{M}$  is not proper, it meets some prod chart in at least 2 plaques.  $\Rightarrow \exists$  a clsd trans  $\tilde{\gamma}$  to  $\tilde{\mathcal{F}}$  meeting  $\tilde{L}$ .

Then image  $\gamma$  of  $\tilde{\gamma}$  in  $M$  is a clsd trans  $\Rightarrow \gamma \neq 1$  in  $\pi_1 M$   
 $\Rightarrow \gamma$  has inf order in  $\pi_1 M \Rightarrow \gamma$  can't lift to  $\tilde{\gamma}$  in  $\tilde{M}_{univ}$ .