

Lecture 11: More on primes in extensions.

Last time:  $K \subseteq L$  number fields.  $\mathfrak{p}$  a prime in  $\mathcal{O}_K$ .

$$\mathfrak{p}\mathcal{O}_L = \mathfrak{f}_1^{e_1} \cdots \mathfrak{f}_m^{e_m} \quad \begin{array}{l} e_i = \text{ramification index} \\ f_i = \text{inertial degree} = \text{deg of } \mathcal{O}_K/\mathfrak{f}_i \end{array}$$

Fundamental Identity:  $\sum e_i f_i = [L:K] = n$ .

Proved when  $K = \mathbb{Q}$ ; in general, follows from

Lemma:  $N(\mathfrak{p}\mathcal{O}_L) = N(\mathfrak{p})^n$  where  $n = [L:K]$ .

Clear if  $\mathfrak{p} = (\alpha)$  as  $N(\mathfrak{p}\mathcal{O}_L) = N_{L/\mathbb{Q}}(\alpha)$   
 $= N_{K/\mathbb{Q}}(N_{L/K}(\alpha)) = N_{K/\mathbb{Q}}(\alpha^n) = N_{K/\mathbb{Q}}(\alpha)^n = N(\mathfrak{p})^n$ .

Proof: Let  $k = \mathcal{O}_K/\mathfrak{p}$  and  $R = \mathcal{O}_L/\mathfrak{p}\mathcal{O}_L$ .  
E.T.S., that  $\dim_k R = n$ . Suppose  $w_1, w_2, \dots, w_m$   
in  $\mathcal{O}_L$  give a basis  $\bar{w}_1, \dots, \bar{w}_m$  in  $R$ .

Claim:  $\{w_i\}$  are  $K$ -linearly indep  $\Rightarrow \dim_k R \leq n$ .

If not,  $\exists a_i \in \mathcal{O}_K$  such that  $\sum a_i w_i = 0$   
with not all  $a_i = 0$ . Then  $\sum \bar{a}_i \bar{w}_i = 0 \Rightarrow \bar{a}_i = 0 \forall i \Rightarrow$   
 $a_i \in \mathfrak{p}$ . [idea: divide through by  $\text{gcd}(a_i)$ ]

Let  $\sigma = (a_1, \dots, a_n) \in \mathcal{O}_K$ . Pick  $a \in \sigma^{-1}$

which is not in  $\sigma^{-1}\beta$ ; this exists as if  $\sigma^{-1} \subseteq \sigma^{-1}\beta$ , then mult by  $\sigma$  we have  $\mathcal{O}_K \subseteq \beta$ , which is silly.

Now  $aa_i \in \mathcal{O}_K$  but not all in  $\beta$  as  $\sigma^{-1}\beta = \{k \in K \mid k\sigma \in \beta\}$  check

Then  $\sum (aa_i)w_i = 0 \Rightarrow \sum \overline{aa_i} \bar{w}_i = 0$ , a contradiction

as some  $\overline{aa_i} \neq 0$ .

To show that  $\dim_K R = n$ , let  $p$  in  $\mathbb{Z}$  be prime below  $\beta$ , and suppose  $p\mathcal{O}_K = \prod \beta_i^{e(\beta_i|p)}$  (say  $\beta_1 = \beta$ )

$$\text{and } \beta_i \mathcal{O}_L = \prod \sigma_{ij}^{e(\sigma_{ij}|\beta_i)}$$

Then

$$p\mathcal{O}_L = \prod \sigma_{ij}^{e(\sigma_{ij}|\beta_i)} e(\beta_i|p)$$

where the  $\sigma_{ij}$  are distinct primes of  $\mathcal{O}_L$ .

and also

$$f(\sigma_{ij}|p) = f(\sigma_{ij}|\beta_i) f(\beta_i|p)$$

Then by the case we know ( $K = \mathbb{Q}$ ),

$$\begin{aligned} [L:\mathbb{Q}] &= \sum_{ij} e(\sigma_{ij}|\beta_i) e(\beta_i|p) f(\sigma_{ij}|\beta_i) f(\beta_i|p) \\ &= \sum_i e(\beta_i|p) f(\beta_i|p) \dim_{K_i} R_i \end{aligned}$$

$$\mathcal{O}_L / \sigma_{ij}$$

$$\mathcal{O}_K / \beta_i$$

$$\mathbb{Z} / p\mathbb{Z}$$

$$\leq \sum_i e(\beta_i | \mathfrak{p}) f(\beta_i | \mathfrak{p}) n = [K : \mathbb{Q}] n = [L : \mathbb{Q}] \quad (22)$$

$\Rightarrow \dim_{k_i} R_i = n$  for all  $i$ . ▣

Suppose  $L/K$  is normal.  $\text{Gal}(L/K)$  acts on the prime ideals of  $\mathcal{O}_L$ , permuting those lying over the same prime of  $\mathcal{O}_K$ . Moreover,

Prop:  $\sigma, \sigma'$  primes of  $\mathcal{O}_L$  lying over  $\mathfrak{p}$  in  $\mathcal{O}_K$ .

$\exists \sigma \in \text{Gal}(L/K)$  with  $\sigma(\sigma) = \sigma'$ .

Pf: Suppose not. By the Chinese Remainder Thm, there is a  $\alpha \in \mathcal{O}_L$  such that  $\alpha \in \sigma'$  and  $\alpha \notin \sigma(\sigma)$  for all  $\sigma \in \text{Gal}(L/K)$ . Now  $N_{L/K}(\alpha) \in \sigma' \cap \mathcal{O}_K = \mathfrak{p}$ .

But  $\sigma^{-1}(\alpha) \notin \sigma$  for all  $\sigma$ , which implies

$N_{L/K}(\alpha) = \prod \sigma^{-1}(\alpha) \notin \sigma$  as  $\sigma$  is prime.

As  $\sigma \supseteq \mathfrak{p}$ , this is a contradiction ▣

Cor: When  $L/K$  is normal,  $e$  and  $f$  depend only on  $\mathfrak{p}$ .